

Application for Registration as Regulated Agent (RA)

Civil Aviation Department (CAD)

The Government of the Hong Kong Special Administrative Region

Notes:

- (1) Applicant should refer to Part III – Application Notes for guidance on the completion of this application form and the submission of the relevant documents.
- (2) Applicant must complete all applicable parts of this application form.
- (3) This application form should be completed in block letters using black or blue pen.
- (4) Any alternative means to satisfy the requirements set out in Part II – Regulated Agent Security Programme or any additional information about your application shall be provided / explained in separate sheets for consideration by Civil Aviation Department.
- (5) Part II – Regulated Agent Security Programme shall be implemented in conjunction with the Handling Procedures for Regulated Agent Regime.

Part I – General Information

Section 1 – Company Particulars

(a)	Company Name (English)	Charles Kendall Freight (Hong Kong) Ltd (as appeared on Business Registration Certificate)		
	Company Name (Chinese)	N/A (as appeared on Business Registration Certificate)		
(b)	Address	Rm 1201-2, 12th Floor 8 Jordan Road Yaumati, Kowloon, Hong Kong (as appeared on Business Registration Certificate)		
(c)	Correspondence Address	Nil (if different from the above address)		
(d)	Business Registration Certificate (BRC) Number	31950707-001	(e) Expiry Date of the BRC (dd/mm/yyyy)	22/05/2015
(f)	Regulated Agent (RA) Code	RA10675 (For existing valid RA Only. If not, please fill in "N/A")		

Section 2 – Particulars of Person-In-Charge

(a)	<u>Person-In-Charge</u> The Person-In-Charge shall be the management personnel of the company. (e.g. director, manager, company secretary or equivalent)			
(b)	Full Name (English)	Ms. CHEN PEI-YIN (as appeared on HKID / passport)		
	Full Name (Chinese)	陳佩吟小姐 (as appeared on HKID / passport)		
(c)	Position in Company	Branch Manager		
(d)	Contact Phone Number	24991222	(e) Fax Number	24991229
(f)	E-mail Address	pchen@charleskendall.com		

Part II – Regulated Agent Security Programme

Section 1 – Programme Objective

The objective of this programme is to prevent the unauthorized carriage of explosives and incendiary devices in the consignments of cargo intended for carriage on passenger aircraft or all-cargo aircraft.

Section 2 – Company Organization

(a)	Total Number of Staff Members	6 Staff			
(b)	Total number of staff members with access to consignment designated as air cargo and/or related shipping documents	4 Staff			
(c)	<u>Nominated Person for Cargo Security</u> Each Regulated Agent (RA) shall nominate a trained person who has attended and completed a training programme acceptable to Civil Aviation Department and is accountable for overseeing the effective implementation of cargo security operations and their compliance with the requirements of the Regulated Agent Regime (RAR), including the requirements in this Regulated Agent Security Programme.				
	(i)	Full name (English)	Ms. CHEN PEI-YIN (as appeared on HKID / passport)		
		(Chinese)	陳 佩吟 小姐 (as appeared on HKID / passport)		
	(ii)	Position in Company	Branch Manager		
	(iii)	Contact Phone Number	24991222	(iv) Fax Number	24991229
	(v)	E-mail Address	pchen@charleskendall.com		
	(vi)	Completion Date of Training Course	15/01/2013 (dd/mm/yyyy)		
	(vii)	Name of Training Institute	Alliance Knowledge Management Ltd.		
	(viii)	Date of Passing Revalidation Test (if any)	N/A (dd/mm/yyyy)		
(d)	Please provide an organization chart of your company containing the key staff members.				

Part II – Regulated Agent Security Programme

Section 3 – Training

Second Trained Person

- (a) When this application form is submitted, each regulated agent shall demonstrate that at least two staff members have attended and completed a training programme acceptable to Civil Aviation Department. As the Nominated Person for Cargo Security mentioned in Part II Section 2(c) is one of the trained staff members, a second trained person shall be provided below.

(i)	Full name (English)	Ms. TANG YI (as appeared on HKID / passport)		
	(Chinese)	唐儀小姐 (as appeared on HKID / passport)		
(ii)	Position in Company	Operation Assistant		
(iii)	Contact Phone Number	24991222	(iv) E-mail Address	stang@charleskendall.com
(v)	Completion Date of Training Course	21/02/2013 (dd/mm/yyyy)		
(vi)	Name of Training Institute	Alliance Knowledge Management Ltd.		
(vii)	Date of Passing Revalidation Test (if any)	N/A (dd/mm/yyyy)		

Internal Security Awareness Training

- (b) All staff members of each regulated agent and of its contractors with access to consignment designated as air cargo and/or related shipping documents shall be required to complete both initial and refresher training to understand the principles of the Regulated Agent Regime (RAR). Records of internal training shall include the names of trainees, date and type of delivery as well as endorsement by the trainer who shall be a trained person mentioned either in Part II Section 2(c) or in Part II Section 3(a) or a trainer with equivalent qualifications. Training records shall be maintained for at least 3 years.

(i)	Name, post and company of the trainer responsible for conducting internal training and maintaining training records	<u>Maintaining training records:</u> Ms. CHEN PEI-YIN <u>Conducting internal Training</u> Ms. CHEN PEI-YIN	(ii) Frequency of Refresher Training	At Least once per year
(iii)	Training Material	● Company's Regulated Agent Security Programme; ● Handling Procedures for Regulated Agent Regime; and ● Material of the training programme from the training institutes acceptable to Civil Aviation Department		

Section 4 – Scope of Cargo Operations

The staff member(s) of my company and/or my contractor(s) are engaged in physical handling of, or visual check on, the consignments designated as air cargo (i.e. involved in physical cargo acceptance, processing, storage and/or transportation). (tick the appropriate box)

☒ Yes[Continue below] ☐ No*[Go to Section 8]

* Please provide a written explanation in separate sheets for consideration by Civil Aviation Department.

Part II – Regulated Agent Security Programme

Section 5 – Cargo Acceptance

(a) Cargo Acceptance By (more than one box can be selected)	☐ Own Staff Members ☒ Warehouse Contractor ☒ Transportation Contractor
(b) <u>Acceptance Procedures</u>	
(i) Documentation Check	1. Consignment of cargo shall be accompanied by shipping documents, including Master Air Waybill (where applicable) and House Air Waybill / Shipper's Letter of Instruction. 2. The shipping documents shall contain at least the following information. - nature / content of the consignment, - quantity of the consignment (including weight, number of packages, dimension / volume), - known consignor code, account consignor code or regulated agent code of the tendering regulated agent, and - security status of the cargo consignment as required in Part II Section 6.3(a), 8.2(c) and 8.3(c) if the consignment is received from a regulated agent. 3. The status of the known consignor (KC), the account consignor (AC) or the tendering regulated agent (RA) shall be checked. (e.g. checking KC code or AC code against the regulated agent's register and/or checking RA code against Civil Aviation Department's register). 4. If in doubt, the identity of the person delivering the cargo consignment shall be verified (e.g. check of company identification document) to ascertain that the person is the authorized representative of the known consignor, the account consignor, the tendering regulated agent, the warehouse contractor or the transportation contractor.
(ii) Appearance Check	1. The quantity (e.g. number of cartons), weight, dimension and the outer physical appearance of the cargo consignment shall be reasonably checked against the information contained in the shipping documents mentioned in Part II Section 5(b)(i). 2. The packaging of the consignment shall be examined for any signs of tampering and suspicion, such as:- ● signs of reseal or forcible opening, or ● unreasonable packaging, or ● wires, oil stains or other indications that the consignment may contain explosives or incendiary devices. 3. Searching by hand or physical check at piece level is not a must if the staff member who receives the cargo consignment is satisfied that the consignment matches the information contained in the shipping documents mentioned in Part II Section 5(b)(i) with no signs of tampering.
Where there is any unresolved tampering, suspicion or discrepancy, the cargo shall be treated as unknown cargo and subject to the security control stipulated in Part II Section 6.3(b).	

Part II – Regulated Agent Security Programme

Section 6 – Cargo Processing and Storage

6.1 Particulars of Service

(a)	My company will provide cargo processing and storage service by my company's or contracted warehouses. (tick the appropriate box)	☒ Yes [Continue Below] ☐ No [Go to Section 7]
(b)	Type of Warehouse (tick the appropriate box)	☐ Self-provided [Go to (d)] ☒ No [Continue below]
(c)	Warehouse Contractor (if any)	
(i)	Name of Warehouse Contractor (English) (Chinese)	WAI SING LOGISTICS (as appeared on Warehouse Contractor Declaration referred in part (v) below) 偉陞物流 (as appeared on Warehouse Contractor Declaration referred in part (v) below)
(ii)	Contractor's Person-In-Charge	Chong Johnny (as appeared on Warehouse Contractor Declaration referred in part (v) below)
(iii)	Contact Phone Number	24226220
(iv)	Monitoring of Contractor Performance (more than one box can be selected)	☐ On-site Command and Supervision ☒ Regular Meeting with Contractor Frequency: <u>Once per month</u> ☐ Others Please specify: _____
(v)	Please provide a copy of the duly completed Warehouse Contractor Declaration.#	
(d)	Sharing of Warehouse (tick the appropriate box)	☐ Yes. Shared with other company. [Continue below] ☒ No. Not shared with other company. [Go to (f)]
(e)	Method to distinguish your company's cargo from other agents' cargo.	☐ Specially assigning storage area. ☒ Labelling. Please provide a sample of your company's cargo label. ☐ Others. Please specify. _____
(f)	Warehouse Address	ROOM A-C, 8/F NORTH SIDE, KWAI SHUN IND CENTRE, 51-63 CONTAINER PORT ROAD, KWAI CHUNG, N.T.

The standard Warehouse Contractor Declaration is available in Civil Aviation Department's website:- <http://www.cad.gov.hk/english/newrar.html>.

Part II – Regulated Agent Security Programme

6.2 Warehouse Security

Premises for processing and storage of consignments of air cargo shall be secured and access controlled to prevent and detect unauthorized access.

(a) Physical Security	<u>Compulsory Measures</u> (i) Physical barriers, such as fences, gates and walls, shall be in place to protect the premises for processing and storing the consignment against unauthorized access. (ii) All doors, gates, roller shutters or other access points to the consignments shall be closed, locked or guarded when not in use. (iii) Regular inspections on physical barriers shall be carried out. (iv) Personnel doors and vehicle access gates shall be used so as to ensure access control over entry and exit. These doors shall also be locked or guarded when not in use. <u>Additional Measures</u> (i) If ventilation is needed, lockable metal screen doors may be installed. (ii) Doors, gates and roller shutters should, where applicable, be equipped with intrusion detection devices, alarms, CCTV or other means of protection against intrusion. (iii) Security personnel patrol is carried out at the cargo processing and storage facilities. (iv) Only vehicles involved in cargo transportation should be allowed to enter and park inside cargo loading or unloading area.
(b) Access Control	<u>Compulsory Measures</u> (i) Access to cargo facilities and areas shall be restricted to individuals with an operational need for access. (ii) Identity check is conducted to ensure that persons entering the facilities, including staff members and visitors, are authorized to enter the facilities. (iii) Gates through which vehicles and/or personnel enter or leave are manned by security personnel or monitored by automated devices. <u>Additional Measures</u> (i) Security devices are installed at gates and doors. (ii) All persons, including staff members and visitors, are required to visibly display permits. If permit is used as a means of controlling access to warehouse, please provide a sample of the permit.

Part II – Regulated Agent Security Programme

6.3 Known Cargo (SPX or SCO Cargo) and Unknown Cargo (UNK Cargo)

(a) Definition	Known cargo is a consignment of cargo from a known consignor, an account consignor or a regulated agent to which the appropriate security controls have been applied; or a consignment of unknown cargo which has subsequently been subjected to the security controls acceptable to Civil Aviation Department. There are two types of known cargo, namely:- (1) Cargo secure for passenger and all-cargo aircraft (SPX cargo):- SPX cargo is cargo which is accepted by a regulated agent (RA) or an aircraft operator (airline) as known cargo from a known consignor (KC), or any cargo which has been subjected to the appropriate security controls. SPX cargo is secure for carriage onboard both passenger and all-cargo aircraft. (2) Cargo secure for all-cargo aircraft (SCO cargo):- SCO cargo is cargo which is accepted by a regulated agent (RA) or an aircraft operator (airline) as known cargo from an account consignor (AC). SCO cargo is secure for carriage onboard all-cargo aircraft only. whereas Unknown cargo (UNK cargo) is either:- (a) any cargo other than known cargo as defined above; or (b) any known cargo which passes out of the custody of an airline, a regulated agent, a known consignor, an account consignor or their appropriate warehouse contractors or transportation contractors.
(b) Security Control (tick the appropriate box)	UNK cargo shall be cleared by the following security control prior to acceptance as SPX cargo:- ♦ searching by hand or physical check at piece level, or ♦ screening by x-ray. The details of the X-ray screening services engaged by my company are as follows: ☒ The facilities, equipment, procedures and screeners have been confirmed acceptable to Civil Aviation Department. Please specify the screening service provider and the location of screening: <u>Hactl / AAT / CPCT</u> ☐ Others. The details of the facilities, equipment, procedures and screeners are provided in separate sheets for consideration by Civil Aviation Department. Assessment on the X-ray screening services may be carried out by Civil Aviation Department as appropriate. ☐ Not applicable. No x-ray screening is conducted. The above security control shall be carried out with an objective to detect the presence of concealed explosives or incendiary devices in consignments of cargo intended for carriage on aircraft.

Part II – Regulated Agent Security Programme

6.4 Segregation of Cargo

(a) Segregation of known cargo from unknown cargo	Known cargo (SPX cargo and SCO cargo) shall be segregated from UNK cargo by:- ☒ Specially assigning separate storage areas for UNK cargo ☒ Labelling. Please provide a sample of the “UNK” label. ☐ Others. Please specify. _____
(b) Segregation of SPX cargo from SCO cargo	SPX cargo shall be segregated from SCO cargo by:- ☒ Specially assigning separate storage areas for SPX cargo and SCO cargo ☒ Labelling. Please provide separate samples of the “SPX” and “SCO” label. ☐ Others. Please specify. _____
(c) Prevention of unlawful interference on Known Cargo	(i) Known cargo shall be held in cages, compartments, rooms or buildings that are secured against unauthorized access or its packaging has been made tamper-evident by using seals or locks, or other means of protection against unlawful interference when consignments are left unattended. (ii) A consignment that is provided with self-contained security may be stored outside the aforesaid cages, compartments, rooms or buildings provided that the consignment itself is equipped with tamper-evident seals or locks and remains under supervision by security personnel patrol, intruder detection devices, alarms, CCTV or other means of protection against intrusion for the entire storage period. If seals or locks are used, their integrity shall be verified.

6.5 Floor Plan

Please provide a floor plan of the warehouse showing the locations of:- ♦ access points and security installations stipulated in Part II Section 6.2, and ♦ specially assigned storage areas specified in Part II Section 6.1(e), 6.4(a) and 6.4(b) if any.

Part II – Regulated Agent Security Programme

Section 7 – Transportation Service

7.1 Particulars of Service

(a) My company will provide cargo transportation service on my own or by contracted service providers. <i>(tick the appropriate box)</i>	☒ Yes [Continue below] ☐ No [Go to Section 8]
(b) Type of Transportation Service <i>(tick the appropriate box)</i>	☐ Self-provided [Go to Section 7.2] ☒ Contracted out [Continue below]
(c) <u>Transportation Contractor</u> <i>(if applicable)</i>	
(i) Name of Transportation Contractor (English) (Chinese)	WAI SING LOGISTICS (as appeared on Transportation Contractor Declaration referred in part (v) below)
	偉陞物流 (as appeared on Transportation Contractor Declaration referred in part (v) below)
(ii) Contractor's Person-In-Charge	Chong Johnny as appeared on Transportation Contractor Declaration referred in part (v) below
(iii) Contact Phone Number	24226220
(iv) Monitoring of Contractor Performance <i>(more than one box can be selected)</i>	☐ On-site Command and Supervision ☒ Regular Meeting with Contractor Frequency: <u>Once per month</u> ☐ Others Please specify. _____
(v) The Transportation Contractor Declaration shall be duly completed by the transportation contractor and submitted to my company.#	

The standard Transportation Contractor Declaration is available in Civil Aviation Department's website:-
<http://www.cad.gov.hk/english/newrar.html>.

Part II – Regulated Agent Security Programme

7.2 Measures of Transportation Security

(a) Driver	<u>Compulsory Measures</u> (i) Driver shall present to the cargo dispatcher a national identity card, passport, driving licence or other document, containing a photograph of the driver for verification before any cargo is loaded. (ii) The vehicle shall not be left unattended or shall not make unscheduled stop (except for emergency). If it is unavoidable to leave the vehicle unattended, the security of the consignment and the integrity of seals or locks shall be checked for any sign of tampering, suspicion or evidence of unlawful interference. If any such sign or evidence is found, the supervisor of the driver shall be notified and the consignment will not be delivered unless the receiving regulated agent or airline is notified of that at delivery. <u>Additional Measures</u> (i) There should also be evidence on the cargo documentation showing the identification of the driver designated to deliver the consignment for verification purpose.
(b) Vehicle	<u>Compulsory Measures</u> (i) Immediately prior to loading, the load compartment shall be searched and the integrity of this search maintained until loading is completed. (ii) All vehicles used in the transportation of air cargo are secured immediately following the completion of loading against unlawful interference at all times, using numbered tamper-evident seals or any other appropriate methods. (iii) The open load areas of flat bed trucks shall be kept under surveillance (e.g. remote CCTV surveillance) when air cargo is being transported. Such cargo consignment shall also be provided with self-contained security by tamper-evident seals or locks. The integrity of the seals or locks shall be verified before the receipt of cargo by the next entity. (iv) If numbered tamper-evident seals are used to secure vehicles transporting air cargo, access to such seals shall be controlled and the seal numbers shall be recorded.

Part II – Regulated Agent Security Programme

Section 8 – Documentation for Cargo Security

8.1 Inter-Agent Handling

(a) My company will be involved in inter-agent operations. <i>(tick the appropriate box)</i>	☒ Yes [Continue below] ☐ No [Go to Section 8.2]
(b) My company will be involved in co-loading activities. <i>(tick the appropriate box)</i>	☒ Yes [Continue below] ☐ No [Go to (e)]
(c) Type of co-loading <i>(more than one box can be selected)</i>	☒ Tendering cargo to other Regulated Agent My company will verify the authenticity of the status of the receiving regulated agent before tendering cargo. ☒ Receiving cargo from other Regulated Agent The cargo acceptance procedures as set out in Part II Section 5(b) shall be adhered to.
(d) My company will be involved in air waybill (AWB) assignment. <i>(tick the appropriate box)</i>	☒ Yes [Continue below] ☐ No [Go to Section 8.2]
(e) Type of Air Waybill Assignment <i>(more than one box can be selected)</i>	☒ Assigning AWB to other Regulated Agent ☒ Borrowing AWB from other Regulated Agent ☐ Assigning / Borrowing AWB to / from non-Regulated Agent All cargo handled by non-Regulated Agent is regarded as unknown cargo. Security control stipulated in Part II Section 6.3(b) shall be applied.

Part II – Regulated Agent Security Programme

8.2 Known Consignor

a) Definition	Known consignor (KC) is the consignor who originates cargo for its own account and whose procedures meet the security requirements stipulated in the Requirement Document for Consignor for carriage of cargo on passenger aircraft and all-cargo aircraft.
(b) Validation / Recognition	Please refer to Requirement Document for Consignor for details.
(c) Security Status	(i) Please refer to the relevant definitions in Part II Section 6.3(a). (ii) Security status shall be assigned by a trained person (i.e. trained person mentioned either in Part II Section 2(c) or in Part II Section 3(a)), or any other staff members of the regulated agents who have received the internal security awareness training mentioned in Part II Section 3(b). (iii) Security status shall be shown in the applicable shipping document and accompany the consignment throughout the secure supply chain, as stipulated in the Handling Procedures for Regulated Agent Regime.
(d) *My Company's KC Code System: <u>KC-A0001</u> "KC" stands for Known Consignor; "A" stands for first alphabet of the Consignor; "0001" sequential number (from 0001 to 9999) *KC Code Example: <u>KC-A0001</u> <i>* Not applicable when the Independent Validation for Known Consignor is effective.</i>	

8.3 Account Consignor

a) Definition	Account consignor (AC) is a consignor who originates cargo for its own account and whose procedures meet the security requirements stipulated in the Requirement Document for Consignor for carriage of cargo on all-cargo aircraft.
(b) Recognition	Please refer to Requirement Document for Consignor for details.
(c) Security Status	(i) Please refer to the relevant definitions in Part II Section 6.3(a). (ii) Security status shall be assigned by a trained person (i.e. trained person mentioned either in Part II Section 2(c) or in Part II Section 3(a)), or any other staff members of the regulated agents who have received the internal security awareness training mentioned in Part II Section 3(b). (iii) Security status shall be shown in the applicable shipping document and accompany the consignment throughout the secure supply chain, as stipulated in the Handling Procedures for Regulated Agent Regime.
(d) My Company's AC Code System: <u>AC-A0001</u> "AC" stands for Account Consignor; "A" stands for first alphabet of the Consignor; "0001" sequential number (from 0001 to 9999) AC Code Example: <u>AC-A0001</u>	

Part II – Regulated Agent Security Programme

8.4 Handling Procedures for Regulated Agent Regime

Handling Procedures for Regulated Agent Regime has been issued for the purpose of proper implementation of the Regulated Agent Regime (RAR) which includes the requirements stipulated in this document. The Handling Procedures is available in Civil Aviation Department's website:-

<http://www.cad.gov.hk/english/newrar.html>.

8.5 Maintenance of Documents

(a) For every consignment of air cargo, my company shall maintain the following documents, where applicable, for a period of at least 31 days after the consignment is flown.

- ♦ Master Air Waybill / House Air Waybill
- ♦ Shipper's Letter of Instructions
- ♦ Cargo Manifest / House Cargo Manifest
- ♦ Reception Check List
- ♦ Form of Undertaking for Inter-RA AWB Handling #
- ♦ Form of Undertaking for Inter-Agent AWB Handling #
- ♦ Record of security control applied on unknown cargo (e.g. x-ray screening record)
- ♦

(b) As long as my company continues to hold a status of regulated agent, my company shall also maintain the following documents.

- ♦ Regulated Agent Security Programme
- ♦ A register of all valid known consignors of my company
- ♦ Known Consignor Declaration of Compliance # of all valid known consignors of my company
- ♦ A register of all valid account consignors of my company
- ♦ Account Consignor Declaration of Compliance # of all valid account consignors of my company
- ♦ Regulated Agent Aviation Security Declaration # (for inter-Regulated Agent handling)

(c) The above documents shall be duly completed in accordance with the Handling Procedures for Regulated Agent Regime. Documentation and recording by electronic means are acceptable provided that hard copies can be made available upon inspection by Civil Aviation Department.

A standard document is available in Civil Aviation Department's website:- <http://www.cad.gov.hk/english/newrar.html>.

Part II – Regulated Agent Security Programme

8.6 Document Retention Period

In summary, the required retention periods of different documents for a regulated agent are tabulated below.

Sections	Documents	Periods
3	Training records (including internal training)	3 years
8.5 (a)	Documents relating to consignment of air cargo	At least 31 days
8.5 (b)	Documents associated with my company's status as a regulated agent	Whole duration of my company's status as a regulated agent
9	Recruitment records	Duration of employment + 1 year
11	Records of random x-ray screening of known cargo	3 years
12	Quality control records	3 years

8.7 Confidentiality

A regulated agent shall ensure that any security sensitive information about its security procedures or operations of the regulated agent is protected against unauthorized disclosure and such information is disseminated on a "need-to-know" basis only.

Part II – Regulated Agent Security Programme

Section 9 – Recruitment (Only applicable to staff members employed on or after 15 July 2013)

My company shall record the details of the recruitment and selection procedures of staff members with access to consignment designated as air cargo and/or related shipping documents, including the Nominated Person for Cargo Security mentioned in Part II Section 2(c). Job application form and interview record shall be maintained.

With prior written consent from job applicants, the following items shall be covered in the recruitment and selection procedures as a pre-employment check. Failure to give consent to a pre-employment check will result in rejection of their job applications.

(a) Job Application Form	In job application form, a job applicant is required: (i) to provide education and employment history in the previous 5 years; (ii) to provide criminal conviction, if any, in the previous 5 years; (iii) once employed, to report as soon as reasonably possible criminal conviction to the company for assessment on continuation of discharge of work duties; (iv) to declare that the information is complete and accurate; (v) to declare that any misrepresentation of the facts is a ground for refusal of employment or for disciplinary proceedings or for criminal charges; and (vi) to agree that information may be collected from previous employers and schools for the purpose of verification only. The completed form must be signed by the applicant.
(b) Job Interview	During the job interview, my company shall: (i) establish the job applicants' identities by means of documentary evidence such as passport, national identity card or registry of birth records; (ii) ensure that the applicant understands the importance and the full implication of the declarations made on the job application form; and (iii) ensure that no time periods are unaccounted for in the job application form.

My company shall be cautious in the recruitment process with an objective to ensure that my staff members are reliable and do not pose a potential threat. For the staff members responsible for implementing security control, applicants' abilities and aptitudes shall also be considered with an objective to ensure that the security control can be effectively carried out.

Recruitment records and subsequent assessment records of staff members shall be kept for at least the duration of employment plus one year.

Part II – Regulated Agent Security Programme

Section 10 – Treatment of Suspect Cargo

(a) Suspect Cargo	- Any suspicion, such as sign of tampering, inconsistency between consignment appearance and shipping documents, shall be resolved before tendering to aircraft operators (Airlines) or the next regulated agent (RA) for carriage by air. - Further shipping information may be requested from the consignor for clarification. - Suspect air cargo shall be treated as unknown cargo and be subjected to the security control stipulated in Part II Section 6.3(b). - My company shall only transport the consignment of the air cargo if my company has carried out the security control stipulated in Part II Section 6.3(b) with an objective to detect the presence of concealed explosives or incendiary devices in consignments of cargo.
(b) Unresolved Suspensions	- If there is any unresolved suspicion or a suspect item is detected in a consignment:- (i) do not touch the consignment; (ii) immediately contact on-site supervisor or person-in-charge for assistance. - Once suspicion is confirmed:- (i) contact the consignor or shipper to assist in investigation; and/or (ii) report it to the Hong Kong Police. - If there is any sign of danger from the suspect consignment:- (i) report it to the Hong Kong Police immediately; (ii) evacuate the premises where the suspect consignment is stored; (iii) establish a cordon to prevent anyone from gaining access to the evacuated area; and (iv) follow the instructions, if any, from the Hong Kong Police and other emergency services department.
(c) Explosive or incendiary device identified	- The case shall be reported to Civil Aviation Department: Attention: Aviation Security Section, Airport Standards Division, Civil Aviation Department Address: Level 5, Office Building, Civil Aviation Department Headquarters, 1 Tung Fai Road, Hong Kong International Airport E-mail: apsd_sec@cad.gov.hk Fax: 2362 4257 - Security control stipulated in Part II Section 6.3(b) shall be applied to other consignments:- (i) destined for the same flight or destination; and (ii) originating from the same consignor or location

Part II – Regulated Agent Security Programme

Section 11 – Random X-ray Screening of Known Cargo (SPX or SCO Cargo)

- My company shall arrange to conduct random x-ray screening, preferably on a monthly basis, of a minimum of 1% (in weight) of the known cargo consignments, which have been recorded on valid Master Air Waybills with flight numbers assigned for carriage onboard passenger aircraft and all-cargo aircraft.
- The random x-ray screening records shall be maintained for at least 3 years for inspection by Civil Aviation Department. A summary report of x-ray screening shall be made available upon request from Civil Aviation Department. Failure to provide accurate and sufficient information on the report may result in suspension or de-registration of my company's regulated agent status.

Section 12 – Quality Control

- My company shall conduct regular self-assessment with reference to this Regulated Agent Security Programme and the Handling Procedures for Regulated Agent Regime, at least once every two years, in order to identify any internal deficiencies or security procedures that are not being properly implemented or that may require enhancement. The results of each self-assessment shall be maintained for 3 years and be available for the announced and unannounced inspections by Civil Aviation Department.
- My company agrees to be subject to announced and unannounced inspection by Civil Aviation Department for the purpose of monitoring the compliance with the relevant security requirements stipulated in this Regulated Agent Security Programme, the Handling Procedures for Regulated Agent Regime and any other directions given from time to time by Civil Aviation Department.

Part III – Application Notes

Section 1 – Self-Checklist

If “No” is selected in any item below, please use separate sheets to provide a written explanation for consideration by Civil Aviation Department. Normally, any unfulfilled requirements may result in application not being processed.

	Sections in Application Form	Points to Note	Documents to be Submitted	Requirement Fulfilled?
(1)	Part I Section 1(a) & (b)	The Company Name and the Address shall be the same as those shown on the company's valid Business Registration Certificate (BRC).	Copy of the BRC	Yes ☒ No ☐
(2)	Part I Section 1(c)	The Correspondence Address is required only if it is different from the address shown on the BRC.		Yes ☐ No ☐ N/A ☒
(3)	Part I Section 1(e)	The remaining validity period of the BRC shall be at least one month upon receipt of ALL the required documents for the application, including those documents requested during or after pre-registration inspection.		N/A
(4)	Part I Section 1(f)	RA Code shall be quoted by the existing valid RAs in order to maintain their registration as RAs after 15 July 2013. RA Code is not required to be quoted by new applicant.		Yes ☒ No ☐ N/A ☐
(5)	Part I Section 2(b)	The Full Name shall be the same as the one shown on Hong Kong Identity Card (HKID) or passport.		Yes ☒ No ☐
(6)	Part I Section 2(d)	The Contact Phone Number shall be manned to facilitate Civil Aviation Department or other emergency services department to liaise with your company, particularly during contingency situations.		Yes ☒ No ☐
(7)	Part II Section 2(c)(i)	The Full Name shall be the same as the one shown on HKID or passport.		Yes ☒ No ☐
(8)	Part II Section 2(c)(iii)	The Contact Phone Number shall be manned round the clock seven days a week to facilitate Civil Aviation Department or other emergency services department to liaise with your company, particularly during contingency situations.		Yes ☒ No ☐

N/A = Not applicable

Part III – Application Notes

Section 1 – Self-Checklist (Cont'd)

	Sections in Application Form	Points to Note	Documents to be Submitted	Requirement Fulfilled?
(9)	Part II Section 2(c)(viii) & Section 3(a)(vii)	The RA Training Certificate or the result of RA revalidation test carries a validity of 3 years. The Date of Passing Revalidation Test is the date of the most recent RA revalidation test which the nominated person / the second trained person has successfully passed. The Date of Passing Revalidation Test has to be provided only if the RA Training Certificate has expired.	Copies of the valid RA Training / Test Certificates of the trained persons	Yes ☒ No ☐ N/A ☐
(10)	Part II Section 2(d)	The organization chart shall include the Person-In-Charge mentioned in Part I Section 2, the Nominated Person for Cargo Security mentioned in Part II Section 2(c) and the second trained person mentioned in Part II Section 3(a).	Organization Chart	Yes ☒ No ☐
(11)	Part II Section 6.1(c)(v)	The duly completed Warehouse Contractor Declaration is required only if your cargo processing and storage service is contracted out.	Copy of duly completed Warehouse Contractor Declaration	Yes ☒ No ☐ N/A ☐
(12)	Part II Section 6.1(e)	A sample of your company's cargo label shall be provided if labeling is used as a means for distinguishing your company's cargo from other agent's cargo.	Sample of Company's Cargo Label	Yes ☒ No ☐ N/A ☐
(13)	Part II Section 6.2(b)	If permit is used as a means of controlling access to warehouse, please provide a sample of the permit.	Sample of Permit	Yes ☐ No ☒ N/A ☐
(14)	Part II Section 6.4(a)	A sample of UNK cargo label shall be provided if labeling is used as a means for segregating unknown cargo from known cargo.	Sample of UNK Cargo Label	Yes ☒ No ☐ N/A ☐
(15)	Part II Section 6.4(b)	Samples of SPX and SCO cargo labels shall be provided if labeling is used as a means for segregating SPX cargo from SCO cargo.	Samples of SPX and SCO Cargo Labels	Yes ☒ No ☐ N/A ☐
(16)	Part II Section 6.5	The floor plan shall clearly show the locations of access points, security installations and, if any, specially assigned storage area for segregating unknown cargo from known cargo, for segregating SPX cargo from SCO cargo and/or for segregating other agent's cargo from your company's cargo. The total number of access points should be stated in the floor plan.	Floor plan of the warehouse	Yes ☒ No ☐ N/A ☐

Part III – Application Notes

Section 2 – Personal Information Collection Statement

Purpose of Collection

Information collected from you will be used for processing your application for registration as a Regulated Agent and other related administration. The provision of personal data is voluntary. If you do not provide sufficient information, Civil Aviation Department may not be able to process your application.

Classes of Transferee

If your company is registered as a Regulated Agent, your company name, Regulated Agent Code and Business Registration Certificate Number may be provided to airlines or airlines' agents (e.g. cargo terminal operators) for verification upon cargo acceptance. Once your company is registered by Civil Aviation Department as a Regulated Agent, your company name and Regulated Agent Code will also be published to the public for information.

Access to Personal Data

You have a right to access to and correct your personal data held by Civil Aviation Department by writing to: Aviation Security Section, Airport Standards Division, Civil Aviation Department, Level 5, Office Building, Civil Aviation Department Headquarters, 1 Tung Fai Road, Hong Kong International Airport, Lantau, Hong Kong SAR.

Section 3 – Submission and Processing of Application

- (1) Civil Aviation Department may conduct an inspection in the course of processing the application. Documents may be requested during or after the inspection.
- (2) Civil Aviation Department may not be able to commence the processing of the application before all the necessary documents are received by Civil Aviation Department.
- (3) The processing time for an application is 14 working days, counted from the date of receipt of ALL required documents for the application.
- (4) Please return the completed application form together with required documents to Aviation Security Section, Airport Standards Division, Civil Aviation Department:-
 - by mail to Level 5, Office Building, Civil Aviation Department Headquarters, 1 Tung Fai Road,
 Hong Kong International Airport
 - by fax to 2362 4257, or
 - by e-mail to apsd_sec@cad.gov.hk.

For any enquiries, please contact Civil Aviation Department at 2910 6932, 2910 6934, 2910 6935 or 2910 6915.

Part IV – Declaration

Declaration of Compliance – Regulated Agent

(To be completed and signed by the Person-In-Charge mentioned in Part I Section 2 or the Nominated Person for Cargo Security mentioned in Part II Section 2 (c))

I, for and on behalf of, Charles Kendall Freight (Hong Kong) Ltd (Company Name) (hereafter referred to as “the company”), hereby declare that:-

- (a) To the best of my knowledge, all information contained in this application form (including the Regulated Agent Security Programme (Part II of this application form)) is true, complete and accurate, and I understand that giving false information or making false statements knowingly and willfully may be liable to prosecution and de-registration of the company's Regulated Agent status.
- (b) The company will continuously implement the practices and procedures set out in the Regulated Agent Security Programme and the Handling Procedures for Regulated Agent Regime, and such practices and procedures are communicated to all staff members with access to consignment of air cargo and/or related shipping documents.
- (c) The Regulated Agent Security Programme will be adjusted to comply with all the relevant changes to the requirements stipulated in the Handling Procedures for Regulated Agent Regime and any other directions given from time to time by Civil Aviation Department, unless the company informs Civil Aviation Department that it no longer wishes to operate as a Regulated Agent.
- (d) The company will inform Civil Aviation Department in writing as soon as reasonably possible if:
 - i. there is any change of the information contained in this application form, including the Regulated Agent Security Programme; and
 - ii. the company ceases operations, no longer deals with cargo for carriage by air or can no longer meet the requirements of the Regulated Agent Regime, including the requirements in the Regulated Agent Security Programme.
- (e) The company will fully cooperate with Civil Aviation Department in all announced and unannounced inspections and provide access to all documents as requested by Civil Aviation Department during inspections.
- (f) For any deficiencies found in air cargo operations in respect of the Regulated Agent Regime including the requirements in the Regulated Agent Security Programme, the company will take actions to rectify such deficiencies within a time period as specified by Civil Aviation Department.
- (g) The company will inform Civil Aviation Department of any serious breaches of the requirements of the Regulated Agent Regime including the requirements in the Regulated Agent Security Programme, e.g. any attempt to conceal explosives or incendiary devices in the consignments of cargo intended for carriage on aircraft.
- (h) The company will ensure that all relevant staff members with access to consignment designated as air cargo and/or related shipping documents will receive appropriate training and are aware of their responsibilities under the Regulated Agent Security Programme.
- (i) Any failure to comply with the requirements of the Regulated Agent Regime including the requirements in the Regulated Agent Security Programme, including failure to maintain and provide any records as required by the Programme, may result in suspension or de-registration of the company's Regulated Agent status.
- (j) I have read and understood Part III Section 2 – Personal Information Collection Statement.
- (k) I agree that Civil Aviation Department has no liability to the company and its prospective or existing staff members for the rejection of job application or termination of employment.
- (l) I agree that Civil Aviation Department has the final discretion on all parts of this application form.

Full Name
(in block letter) (as
appeared on HKID /
passport)

CHEN PEI-YIN

Position in
Company

BRANCH MANAGER

Signature and
Company Chop

Date

23 October 2014

For Official Use:

Recommended by Date Checked by Date

RA Code: _____ Effective Date: _____

Remarks:



Warehouse Contractor Declaration

Civil Aviation Department (CAD)
The Government of the Hong Kong Special Administrative Region

(To be completed and signed by the person-in-charge of the warehouse contractor company)

I, for and on behalf of, WAI SING LOGISTICS (company name of warehouse contractor) hereby declare to:-

Charles Kendall Freight (Hong Kong) Ltd (company name of the cargo agent who engages my company to provide cargo processing and storage service) (hereafter referred to as "the principal") that when collecting, storing or dispatching consignment designated as air cargo to which security control has been applied (i.e. known cargo) on behalf of the principal, the following security procedures shall be adhered to:-

- (a) The identity of each warehouse staff member with access to consignment designated as air cargo and/or related shipping documents shall be established by means of documentary evidence such as a national identity card or passport.
- (b) Each warehouse staff member with access to consignment designated as air cargo and/or related shipping documents shall have received security awareness training set out in Part II Section 3(b) of the principal's Regulated Agent Security Programme.
- (c) The security procedures set out in Part II Section 5(b), 6.1(e), 6.2 and 6.4 of the principal's Regulated Agent Security Programme shall be adhered to.
- (d) Cargo processing or storage service shall not be sub-contracted to a third party, unless the third party also has signed a Warehouse Contractor Declaration with the principal.

I understand that making false declaration knowingly may be liable to prosecution and I accept responsibility for this declaration.

Full Name (in block letter): _____ (as appeared on HKID / passport)

Position in Company:

Manager

Signature:

Company Chop:

Company Address:

ROOM A-C, 8/F NORTH SIDE, KWAI SHUN IND CENTRE, 51-63
CONTAINER PORT ROAD, KWAI CHUNG, N.T.

Date:

Note 1 This Declaration shall be completed and signed by the person-in-charge of the warehouse contractor company.

2 The original of this Declaration shall be retained by the principal with a copy provided to the warehouse contractor company.



Transportation Contractor Declaration

Civil Aviation Department (CAD)
The Government of the Hong Kong Special Administrative Region

(To be completed and signed by the person-in-charge of the transportation contractor company)

I, for and on behalf of, WAI SING LOGISTICS (company name of transportation contractor) hereby declare to:-

WAI SING LOGISTICS (company name of the cargo agent who engages my company to provide cargo transportation service) (hereafter referred to as "the principal") that when collecting, carrying, storing or delivering consignment designated as air cargo to which security control has been applied (i.e. known cargo) on behalf of the principal, the following security procedures shall be adhered to:-

- (a) The identity of each driver shall be established by means of documentary evidence such as a national identity card, passport or driving licence.
- (b) Each driver shall have received the security awareness training set out in Part II Section 3(b) of the principal's Regulated Agent Security Programme.
- (c) The security procedures set out in Part II Section 5(b) and 7.2 of the principal's Regulated Agent Security Programme shall be adhered to.
- (d) Cargo transportation service shall not be sub-contracted to a third party, unless the third party has also signed a Transportation Contractor Declaration with the principal.

I understand that making false declaration knowingly may be liable to prosecution and I accept the responsibility for this declaration.

Full Name (in block letter): _____ (as appeared on HKID / passport)

Position in Company:

Manager

Signature: _____

Company Chop: _____

Company Address:

ROOM A-C, 8/F NORTH SIDE, KWAI SHUN IND CENTRE, 51-63
CONTAINER PORT ROAD, KWAI CHUNG, N.T.

Date: _____

Note 1 This Declaration shall be completed and signed by the person-in-charge of the transportation contractor company.

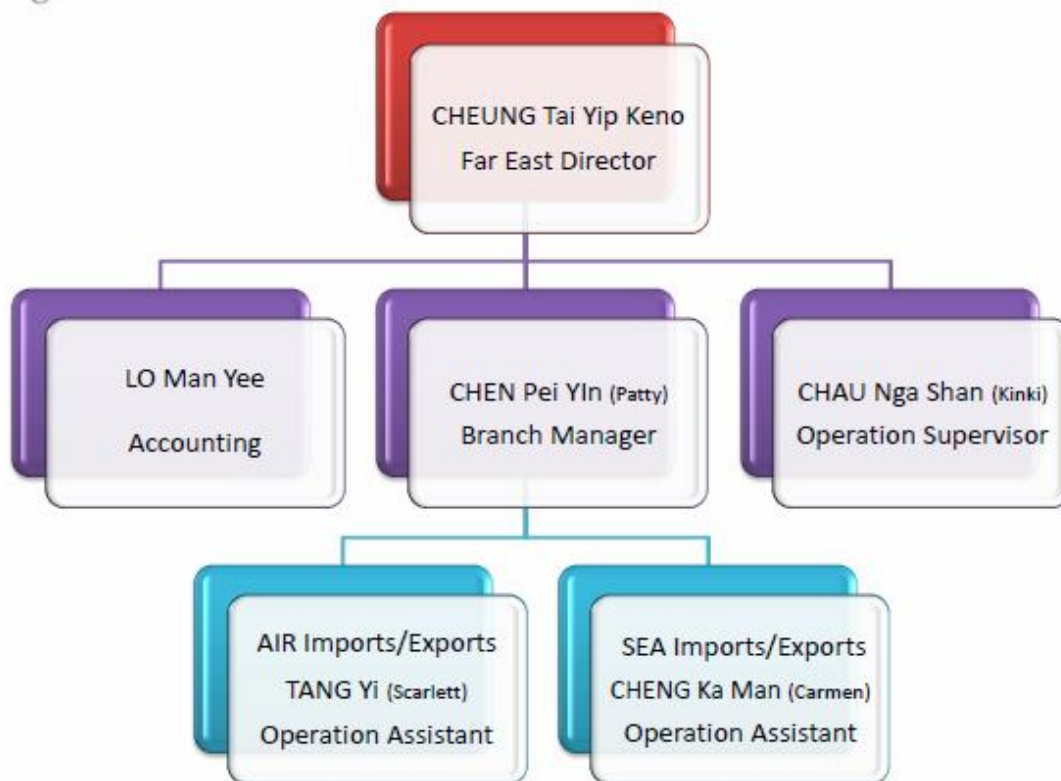
2 The original of this Declaration shall be retained by the principal with a copy provided to the transportation contractor company.

Organization chart



Charles Kendall Freight
Room 1201-2, 12th Floor
8 Jordan Road
Yau Ma Tei, Kowloon
Hong Kong

T +852 2499 1222/2499 1800
F +852 2499 1229
E ckfhkg@charleskendall.com
www.charleskendallfreight.com



Charles Kendall Freight Hong Kong Limited is Part of the Charles Kendall Group
Director: P. Sunderland, KTY Cheung. Registered in Hong Kong 777945 at 14th Floor Central Plaza 18 Harbour Road Wanchai Hong Kong

Ms. CHEN PEI-YIN, Person-In-Charge, Nominated Person for Cargo Security, Conducting internal training and maintaining training records

Ms. TANG YI, Second Trained Person

A sample cargo label for distinguishing your company’s cargo from other agent's cargo.

25-SEP-2009 21:00 From

Tel:0995029

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WAI SING LOGISTICS

偉陞物流

date

s/o no

Charles Kendall

Sample of UNK cargo label for segregating unknown cargo from known cargo.



or



Samples of SPX and SCO cargo labels for segregating SPX cargo from SCO cargo.



Or



or



Warehouse Floor plan

警用人員出入口及巡邏火警疏散路線圖

